

WORKMAN | NYDEGGER

1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Phone: (801) 533-9800
Fax: (801) 328-1707

RECEIVED
CENTRAL FAX CENTER

JUN 29 2006

FAX TRANSMISSION COVER SHEET

Date: June ²⁹~~16~~, 2006
To: United States Patent and Trademark Office
Fax: 571-273-8300
Phone:
From: R. Burns Israelsen
Re: Serial No. 10/532,550
Filing Date August 17, 2005
Our Reference: 16169.6


YOU SHOULD RECEIVE 7 PAGE(S), INCLUDING THIS COVER SHEET.
IF YOU DO NOT RECEIVE ALL THE PAGES, PLEASE CALL 801-533-9800

Attached:

PRIVILEGED AND CONFIDENTIAL

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS ATTORNEY PRIVILEGED AND CONFIDENTIAL. INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE RECIPIENT OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

JUN 29 2006

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Doclet No.	
Applicant(s): Ishii et al.			16149.6	
Application No. 10/532,550	Filing Date August 17, 2005	Examiner Unknown	Group Art Unit 1775	
Invention: GLASS SUBSTRATE FOR MAGNETIC RECORDING MEDIUM AND METHOD FOR MANUFACTURING THE SAME				
<p>I hereby certify that this _____ Request for Corrected Filing Receipt _____ <i>(Identify type of correspondence)</i></p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>571-273-8344</u>)</p> <p>on <u>June 29</u>, 2006 <i>(Date)</i></p> <p style="text-align: right;"> <u>Rachael M. Harris</u> <i>(Typed or Printed Name of Person Signing Certificate)</i>  <i>(Signature)</i> </p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>				

**RECEIVED
CENTRAL FAX CENTER**

VIA FACSIMILE

JUN 29 2006

PATENT APPLICATION
Docket No. 16169.6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	
)	
Ishii et al.)	
)	Ar. Unit
)	175
Serial No.:)	
10/532,550)	
Confirmation No.:)	
3823)	
371(c) Date:)	
August 17, 2005)	
For:)	
GLASS SUBSTRATE FOR MAGNETIC)	
RECORDING MEDIUM AND)	
METHOD FOR MANUFACTURING THE)	
SAME)	

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the official Filing Receipt received from the United States Patent and Trademark Office in the above-identified application for which issuance of a corrected filing receipt is respectfully requested.

In the Title section of the filing receipt:

Please replace "Magnetic recording medium glass substrate and method of producing the same" with --Glass substrate for magnetic recording medium and method for manufacturing the same --.

The correction is due to no error by applicant and thus no fee is required.

The Commissioner is hereby authorized to charge payment of fees required under 37 C.F.R. § 1.19(h) or credit any overpayment to Deposit Account No. 23-3178. A duplicate copy of this letter is attached.

Dated this 29 day of June 2006.

Respectfully submitted,



R. BURNS ISRAELSEN
Attorney for Applicant
Registration No. 42,685
Customer No. 022913

RBI:rmh

W:\16169\6\RMH000000000708V001.doc



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TO CLMS	IND CLMS
10/532,550	08/17/2005	1775	1030	16169.6	6	17	2

CONFIRMATION NO. 3823

22913
 WORKMAN NYDEGGER
 (F/K/A WORKMAN NYDEGGER & SEELEY)
 60 EAST SOUTH TEMPLE
 1000 EAGLE GATE TOWER
 SALT LAKE CITY, UT 84111

FILING RECEIPT

10/532,550 08/17/2005 1775 1030 16169.6 6 17 2
 OC000000018894375

Date Mailed: 05/23/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Norimasa Ishii, Osaka, JAPAN;
 Kazuishi Mitani, Osaka, JAPAN;
 Yasuhiro Saito, Osaka, JAPAN;
 Koji Okuhata, Osaka, JAPAN;

DOCKETED
 Atty JS File # 16169.6
 By JS On 05/23/06
 Action FILED
 Response Due 08/31/06

Power of Attorney: The patent practitioners associated with Customer Number **22913**.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/13462 10/22/2003

Foreign Applications

JAPAN 2002-308813 10/23/2002
 JAPAN 2002-379004 12/27/2002

If Required, Foreign Filing License Granted: 05/20/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/532,550**

Projected Publication Date: 08/31/2006

Non-Publication Request: No

RECEIVED
 MAY 23 2006
 USPTO

Early Publication Request: No

Title

Glass substrate for magnetic recording medium and method
Magnetic recording medium glass substrate and method of producing the same
for manufacturing the same

Preliminary Class

428

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted

under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)) the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).